

**EASTON DEPARTMENT OF PUBLIC WORKS
EASTON, MASSACHUSETTS**

**RED MILL ROAD WATER TREATMENT PLANT
CONTRACT DPW-2022-05**

ADDENDUM NO. 1

October 7, 2021

NOTICE TO ALL BIDDERS:

Sealed Bids from pre-qualified Subcontractors for the filed sub-bid categories designated herein will be received until **2:00 PM prevailing time on Wednesday, November 10, 2021** for the following filed sub-bids:

- Masonry
- Miscellaneous and Ornamental Iron
- Waterproofing, Damp Proofing and Caulking
- Painting
- Plumbing
- Heating, Ventilation and Air Conditioning
- Fire Protection
- Electrical Work

All filed sub-bids shall be submitted online at www.Projectdog.com and entitled “**[Filed Sub-bid Classification] Filed Sub-bid for the Red Mill Road Water Treatment Plant, Town of Easton, Massachusetts**” All electronic bids are compiled in real time upon bid close and published forthwith on ProjectDog. Official sub-bid tabulations shall be posted on ProjectDog.

Sealed Bids from pre-qualified General Bidders will be received until **2:00 p.m. prevailing time on Tuesday, November 23, 2021**. All bids shall be submitted online at www.Projectdog.com and entitled “**Red Mill Road Water Treatment Plant, Town of Easton, Massachusetts**” All electronic bids are compiled in real time upon bid close and published forthwith on ProjectDog. Official bid tabulations shall be posted on ProjectDog.

A non-mandatory pre-bid meeting will be held at the project site, 12 Red Mill Road, Easton, MA 02375 on **October 13, 2021 at 10:00 a.m.** Bidders are strongly encouraged to attend the pre-bid meeting.

The attention of all eligible bidders submitting bids for the above referenced project is called to the following Addendum to the drawings and specifications (Contract Documents). The items set forth herein, whether of omission, addition, substitution, or clarification, are all to be included in and form a part of the proposed work.

Any and all addenda shall be posted online at Projectdog.com. Each individual or firm recorded as having received the contract documents will be notified by email if and when addenda are issued. Hard copies of addenda will not be mailed or faxed to plan holders. It is the sole responsibility of the Bidder to review any and all addenda prior to the bid opening either online or at the offices of Projectdog, Inc.

If a bid is submitted prior to an Addendum being issued, the Bidder will receive an e-mail notification for informational purposes only. The Bidder must review the addendum, retract the bid, acknowledge all addenda, and re-submit the bid. If a Bidder fails to acknowledge all addenda their bid may be rejected by the Awarding Authority.

This Addendum addresses the following:

- *Specifications and Drawings*
- *Questions from Contractors and Answers*
- *Supplemental Information*

SPECIFICATIONS AND DRAWINGS

Make the following changes, revisions, additions, and/or deletions to the Contract Documents:

Table of Contents

- On page TOC-5, delete “Appendix I – Not Used” and replace with the following:
“Appendix I – DCAMM Update Statement”

Specification 00020 – Invitation to Bid

- Delete paragraph 2 and replace with the following:
*“2. Sealed Bids from pre-qualified Subcontractors for the filed sub-bid categories designated herein will be received until **2:00 PM prevailing time on Wednesday, November 10, 2021** for the following filed sub-bids:*
 - *Masonry*
 - *Miscellaneous and Ornamental Iron*
 - *Waterproofing, Damp Proofing and Caulking*
 - *Painting*
 - *Plumbing*
 - *Heating, Ventilation and Air Conditioning*
 - *Fire Protection*
 - *Electrical Work*

*All filed sub-bids shall be submitted online at www.Projectdog.com and entitled “**Filed Sub-bid Classification**] **Filed Sub-bid for the Red Mill Road Water Treatment Plant, Town of Easton, Massachusetts**” All electronic bids are compiled in real time upon bid close and published forthwith on ProjectDog. Official sub-bid tabulations shall be posted on ProjectDog.”*

- Delete paragraph 3 and replace with the following:
“3. Sealed Bids from pre-qualified General Bidders will be received until 2:00 p.m. prevailing time on Tuesday, November 23, 2021. All bids shall be submitted online at www.Projectdog.com and entitled “Red Mill Road Water Treatment Plant, Town of Easton, Massachusetts” All electronic bids are compiled in real time upon bid close and published forthwith on ProjectDog. Official bid tabulations shall be posted on ProjectDog.”
- Delete paragraph 4 and replace with the following:
“4. Pre-qualified General Bidder shall be certified by the Division of Capital Asset Management and Maintenance (DCAMM) in Sewerage and Water Treatment Plants. Pre-qualified Filed Sub-bidders shall be certified by the Division of Capital Asset Management and Maintenance (DCAMM) in the category applicable to the filed sub-bid. Each Bid Proposal and Filed Sub-bid Proposal must include a DCAMM Update Statement and Certificate of Eligibility in their respective category of eligibility.”

Specification 00100 – Instructions to Bidders

- Under paragraph 2, delete the bullet “Required DCAMM Certificate of Eligibility” and replace with the following:
“Required DCAMM Certificate of Eligibility and Update Statement (Appendix I)”

Specification 00301 – Bid Form

- On Page 00301-9, delete the bullet “Required DCAMM Certificate of Eligibility” and replace with the following:
“Required DCAMM Certificate of Eligibility and Update Statement (Appendix I)”
- On Page 00301-15, delete the bullet “Required DCAMM Certificate of Eligibility” and replace with the following:
“Required DCAMM Certificate of Eligibility and Update Statement (Appendix I)”

Specification 16130 – Raceway and Fittings

- Add the following sentence to the end of Paragraph 1.5.A:
“Where rooms have ACT ceiling systems the interior conduit shall be exposed above the ACT ceiling.”

Appendix I – DCAMM Update Statement

- Insert Attachment 2 as Appendix I.

Sheet M-11

- The scale for Section E “ 1/2” = 1’-0” ” shall be replaced with “ 3/8” = 1’-0” ”

Sheet M-14

- The scale “as shown” for this sheet shall be replaced with “ $1/2" = 1'-0"$ ”

Sheet E-25

- In POWER CABLE CONDUIT SCHEDULE add Row with Symbol “P233” with CONDUIT SIZE column of “3”, CONDUCTOR Column of “(3) #4/0”, and GND column of “(1)#4.”
- In POWER CABLE CONDUIT SCHEDULE, add Row with Symbol “P254” with CONDUIT SIZE column of “3”, CONDUCTOR Column of “(4) 250KCMIL”, and GND Column of “(1)#4.”

QUESTIONS AND ANSWERS

Question 1: I see this project is out again for Rebid. Could you tell me what happened with the bids submitted on 08/19/2021? Were all bids rejected or were there no bids received?

Answer 1: This is the first time the project has been bid. The Owner completed a subcontractor and general contractor pre-qualification process, as required by Massachusetts bid laws for projects valued over \$10 million, in August 2021.

Question 2: We have downloaded the plans & specs but didn’t see anywhere mentioning how to submit questions.

Answer 2: Refer to Specification Section 00100 – Instructions to Bidders, Page 00100-4 Paragraph 9. – Addenda and Interpretations.

Question 3: After downloading the plans and specifications, we noted the bid dates and would like to formally request a two-week extension to both the sub bids and general contractors bid. There are multiple projects that have come out to been prior and all bidding around the same timeframe. These extensions will help contractors / suppliers and will allow for more competitive pricing.

Answer 3: Refer to Addendum No. 1.

Question 4: I have downloaded the documents for this project and cannot locate a list of the pre-qualified bidders. Could you please provide the list at your earliest convenience?

Answer 4: Refer to Attachment 1 of Addendum No. 1.

Question 5: Would you have a list of the qualified subcontractors and general contractors that you could share?

Answer 5: Refer to Attachment 1 of Addendum No. 1.

Question 6: Can you please confirm if there are any MBE/WBE, American Iron & Steel or Diesel requirements for this project?

Answer 6: The project is not SRF funded. Refer to Contract Documents for additional requirements.

Question 7: We produce a maintainable weep hole component called the JET Filter used in MSE, Block or ERS Retaining Walls. I would like to send out a complimentary sample of our unit for your review. You may have an interest for any other future design build projects. Traditional drainage & soil filtration systems are buried in backfill behind the structure. Eventually, the system will fail causing pressure build up and soil loss. Traditional systems are extremely costly to fix. But not fixing a failing drainage system will only cause damage to the wall and any structures the wall supports.

Answer 7: Refer to the Contract Documents for project scope of work.

Question 8: Please send CGKV Architects the following RFI concerning the Kalwall Translucent Panels specified and shown on the drawings:

1.5 Performance Testing: E. Energy Performance: 1. Wall Assemblies: i. ii. iii.

Kalwall's 2 3/4" Thermally Broken panels provide the following Performance Data:

.23 U Factor / .31 SHGC / 26% VLT with a Crystal – White Configuration

The specification calls for:

4" Panel / .23 U Factor / .30 SHGC / Max 20% VLT with a Crystal – White Configuration

Please confirm the specification requirements. We can achieve the following using a White – White Configuration

Kalwall 2 3/4" Thermally Broken Panel / .23 U Factor / .25 SHGC / 16% VLT

Answer 8: The specification requirements, including 4" panel depth, shall be per the Contract Documents. Refer to Specification Section 01300 – Submittals, Paragraph 1.5.

Question 9: I would also like to address the Fiberglass Sandwich-Panels Type (E) as shown on A-8. Is the entire Type E Panel (RO 14'x22') to be removable or just the lower half (RO 14'x8')? How often does this panel need to be removed? Preferred method for a removable wall is to incorporate a removable frame and install Kalwall into the removable frame that can be disconnected and removed. Frame would be by others. We would also need a structural member horizontally as shown to act as the sill and header for the Kalwall Panels. Removable frame would be connected to this structural member.

Answer 9: The entire 14' x 22' rough opening for Window Type E assemblies, including the lower panels, the upper panels, and the intermediate horizontal structural members (by the metal building contractor) must be fully removable. It is anticipated that removal of the knock-out walls will only occur one time, when future PFAS equipment is installed. Refer to Specification Section 01300 – Submittals, Paragraph 1.5.C.

Question 10: I am just reaching out to ask if you can review the sub bid form that is uploaded to ProjectDog. It is 3 pages long and appears that there is at least one page missing. Please advise.

Answer 10: Corrected filed sub-bid form available at ProjectDog website.

Question 11: You have a list of all of the prequal GC's and subs?

Answer 11: Refer to Attachment 1 of Addendum No. 1.

Question 12: We are a pre qualified sub bidder for this project. It appears the bidding requirements do not currently ask for a DCAMM Update Statement to be submitted with the bid. Instead there is a “Bidders Project List”. Under the prequalification process this may be a bid requirement violation. Please confirm a DCAMM Update Statement is NOT required to be submitted and in place of it a “project list” is required?

Answer 12: Refer to Addendum No. 1.

Question 13: Please clarify the intent of the Sub Bid Form. The form asks for pricing for different parts of the project, however the project specifications do not contain an “Alternates” Section. If an “Alternates” section is not added, does the pricing for any of the breakout portions have an impact on contract award?

Answer 13: There are no bid alternates included as a part of this project.

Question 14: Specification section 16050 paragraph 1.12 sub paragraph B. says the Electrical FSB shall not reduce the size or number of conduit runs indicated on the drawings. Does this mean that electrical circuits shown on the drawings need to be run as such. The Electrical Contractor will not be allowed to combine conductors of any kind? All conduit runs, regardless of wire/cable need to be run as shown? Please clarify.

Answer 14: The Contractor shall bid the project per the requirements of the Contract Documents.

Question 15: Specification Section 16730 paragraph 1.6 sub paragraph B. says the products for the CCTV system must be AXIS no other products allowed. Please provide the vendor information in order for the Electrical Contractor to obtain the correct pricing for the new components, programming, testing and training that will be required by the owner.

Answer 15: Contact AXIS for local CCTV integrators, the owner has utilized Whalley Computer Associates, Inc. (www.wca.com) in the past.

Question 16: On drawing E-5 there is a feeder at LP1 marked “P254”. That feeder is does not appear on the schedules. Please clarify.

Answer 16: Refer to Addendum No. 1.

Question 17: On drawing E-5 the feeders marked “P233” do not appear on the schedules. Please clarify.

Answer 17: Refer to Addendum No. 1.

Question 18: Please confirm unless noted on the drawings all areas are considered to be Nema 1. If this is not the case, please identify the Nema classification as well as what are the acceptable products to be installed.

Answer 18: Refer to Specification Section 16050 – Electrical General Conditions, Paragraph 1.11 – Design Criteria, Item C; and Specification Section 16085 – Miscellaneous Equipment, Paragraph 2.8 – Enclosures Types.

Question 19: Specification section 16130 1.5 A. states all interior raceways installed shall be exposed and be PVC Schedule 80. Please confirm this is accurate for all new interior conduit regardless of system type or area.

Answer 19: Refer to Addendum No. 1.

Question 20: Please provide Nema ratings for the disconnects shown on the Electrical Drawings.

Answer 20: Refer to Specification Section 16050 – Electrical General Conditions, Paragraph 1.11 – Design Criteria, Item C.

Question 21: It appears that there are weatherproof receptacles near or on the same area as other devices that are not marked as weatherproof. Please confirm this is accurate.

Answer 21: Refer to electrical symbol legend on Drawing E-1.

Question 22: Where is the pump station #7 located? The building is not evident on the site drawing E3 or E4.

Answer 22: Well Station 7 is south of Well Station 5. The coordinates are approximately (42.002447, -71.154615). Refer to the Contract Drawings cover sheet for vicinity map.

Question 23: I was looking to see if we could be accepted as an approved manufacturer for the Structural Fiberglass portion of this project which includes FRP Grating, Stairs, Channels, and more.

Answer 23: Refer to Specification Section 00100 – Instructions to Bidders, Paragraph 18 – Equal Products Acceptable.

SUPPLEMENTAL INFORMATION

Attachment 1 – Red Mill Road Water Treatment Plant Prequalified List

Attachment 2 – Appendix I – DCAMM Update Statement

END OF ADDENDUM NO. 1

**EASTON DEPARTMENT OF PUBLIC WORKS
EASTON, MASSACHUSETTS**

**RED MILL ROAD WATER TREATMENT PLANT
CONTRACT DPW-2022-05**

ADDENDUM NO. 1

ATTACHMENT 1

RED MILL ROAD WATER TREATMENT PLANT PREQUALIFIED LIST

Red Mill Road Water Treatment Plant Prequalified List

General Contractors:

- Hart Engineering Corp
- Methuen Construction Company
- RH White Construction
- Walsh Construction
- Waterline Industries Corp
- WES Construction Corp
- Winston Builders Corp

Electrical:

- Annese Electrical Services
- Elm Electric
- Energy Electric
- EW Audet & Sons
- Fall River Electrical Associates
- Piquette & Howard Electric Service
- Waterline Industries Corp
- Wayne J Griffin

Fire Protection:

- Carlisle Engineering
- Cogswell Sprinkler Co
- Xcel Fire Protection
- Yankee Sprinkler Co

HVAC:

- Apex Corp
- CAM HVAC
- Enterprise Equipment Co
- General Mechanical Contractors
- Waterline Industries Corp
- Winston Builders Corp

Masonry:

- Cantarella & Sons
- Cenedella Masonry
- Chapman Waterproofing Company
- Costa Brothers Masonry
- Empire Masonry Corp
- Fernandes Masonry
- Folan Waterproofing & Construction
- K Walter Construction
- Kenney Masonry

- Marmelo Bros Construction

Miscellaneous and Ornamental Iron:

- Waterline Industries Corp
- L&L Contracting
- North Shore Steel
- Methuen Construction Co
- EDM Construction
- SMJ Metal Company
- Capone Iron Corporation
- V&G Iron Works
- Winston Builders Corp
- Quinn Bros of Essex
- Heritage Metal Works

Painting:

- Dandis Contracting Inc
- John W Egan Co
- Keltic Painting LLC
- RJ Forbes Painting Contractor
- Soep Painting Corp

Plumbing:

- Araujo Bros Plumbing
- Robert W. Irvine & Sons
- Waterline Industries Corp

Waterproofing, Dampproofing and Caulking:

- Cantarella & Son
- Chapman Waterproofing Company
- Folan Waterproofing & Construction
- PJ Spillane Company
- Superior Caulking & Waterproofing



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**RED MILL ROAD WATER TREATMENT PLANT
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ADDENDUM NO. 1

ATTACHMENT 2

APPENDIX I – DCAMM UPDATE STATEMENT

SPECIAL NOTICE TO AWARDING AUTHORITY
BIDDERS' UPDATE STATEMENTS ARE NOT PUBLIC RECORDS AND
ARE NOT OPEN TO PUBLIC INSPECTION (M.G.L. C.149, §44D)

EFFECTIVE MARCH 30, 2010

Commonwealth of Massachusetts
Division of Capital Asset Management
PRIME/GENERAL CONTRACTOR
UPDATE STATEMENT

TO ALL BIDDERS AND AWARDING AUTHORITIES

A COMPLETED AND SIGNED PRIME/GENERAL CONTRACTOR UPDATE STATEMENT MUST BE SUBMITTED WITH EVERY PRIME/GENERAL BID FOR A CONTRACT PURSUANT TO M.G.L. c.149, §44A AND M.G.L. c. 149A. ANY PRIME/GENERAL BID SUBMITTED WITHOUT AN APPROPRIATE UPDATE STATEMENT IS INVALID AND MUST BE REJECTED.

Caution: This form is to be used for submitting Prime/General Contract bids. It is not to be used for submitting Filed Sub-Bids or Trade Sub-Bids.

AWARDING AUTHORITIES

If the Awarding Authority determines that the bidder does not demonstrably possess the skill, ability, and integrity necessary to perform the work on the project, it must reject the bid.

BIDDER'S AFFIDAVIT

I swear under the pains and penalties of perjury that I am duly authorized by the bidder named below to sign and submit this Prime/General Contractor Update Statement on behalf of the bidder named below, that I have read this Prime/General Contractor Update Statement, and that all of the information provided by the bidder in this Prime/General Contractor Update Statement is true, accurate, and complete as of the bid date.

Bid Date

Print Name of Prime/General Contractor

Project Number (or
name if no number)

Business Address

Awarding Authority

Telephone Number

SIGNATURE⇒

Bidder's Authorized Representative

INSTRUCTIONS

INSTRUCTIONS TO BIDDERS

- This form must be completed and submitted by all Prime/General contractors bidding on projects pursuant to M.G.L. c. 149, §44A and M.G.L. c. 149A.
- You must give complete and accurate answers to all questions and provide all of the information requested. **MAKING A MATERIALLY FALSE STATEMENT IN THIS UPDATE STATEMENT IS GROUNDS FOR REJECTING YOUR BID AND FOR DEBARRING YOU FROM ALL PUBLIC CONTRACTING.**
- **This Update Statement must include all requested information that was not previously reported on the Application used for your firm's most recently issued (not extended or amended) Prime/General Contractor Certificate of Eligibility. The Update Statement must cover the entire period since the date of your Application, NOT since the date of your Certification.**
- You must use this official form of Update Statement. Copies of this form may be obtained from the awarding authority and from the Asset Management Web Site: www.mass.gov/dcam.
- If additional space is needed, please copy the appropriate page of this Update Statement and attach it as an additional sheet.
- See the section entitled "Bidding Limits" in the *Instructions to Awarding Authorities* for important information concerning your bidding limits.

INSTRUCTIONS TO AWARDING AUTHORITIES

Determination of Bidder Qualifications

- It is the awarding authority's responsibility to determine who is the lowest eligible and responsible bidder. You must consider all of the information in the low bidder's Update Statement in making this determination. **Remember:** this information was not available to the Division of Capital Asset Management at the time of certification.
- The bidder's performance on the projects listed in Parts 1 and 2 must be part of your review. Contact the project references.
- **AWARDING AUTHORITIES ARE STRONGLY ENCOURAGED TO REVIEW THE LOW BIDDER'S ENTIRE CERTIFICATION FILE AT THE DIVISION OF CAPITAL ASSET MANAGEMENT. Telephone (617) 727-9320 for an appointment.**

Bidding Limits

Single Project Limit: The total amount of the bid, including all alternates, may not exceed the bidder's Single Project Limit.

Aggregate Work Limit: The annual value of the work to be performed on the contract for which the bid is submitted,

when added to the annual cost to complete the bidder's other currently held contracts, may not exceed the bidder's Aggregate Work Limit. Use the following procedure to determine whether the low bidder is within its Aggregate Work Limit:

Step 1

Review Update Statement Question #2 to make sure that all requested information is provided and that the bidder has accurately calculated and totaled the annualized value of all incomplete work on its currently held contracts (column 9).

Step 2

Determine the annual dollar value of the work to be performed on your project. This is done as follows:

- (i) If the project is to be completed in less than 12 months, the annual dollar value of the work is equal to the full amount of the bid.
- (ii) If the project will take more than 12 months to complete, calculate the number of years given to complete the project by dividing the total number of months in the project schedule by 12 (calculate to 3 decimal places), then divide the amount of the bid by the calculated number of years to find the annual dollar value of the work.

Step 3

Add the annualized value of all of the bidder's incomplete contract work (the total of column 9 on page 5) to the annual dollar value of the work to be performed on your project. **The total may not exceed the bidder's Aggregate Work Limit.**

Correction of Errors and Omissions in Update Statements

Matters of Form: An awarding authority shall not reject a contractor's bid because there are mistakes or omissions of form in the Update Statement submitted with the bid, provided the contractor promptly corrects those mistakes or omissions upon request of the awarding authority. [810 CMR 8.05(1)].

Correction of Other Defects: An awarding authority may, in its discretion, give a contractor notice of defects, other than mistakes or omissions of form, in the contractor's Update Statement, and an opportunity to correct such defects, provided the correction of such defects is not prejudicial to fair competition. An awarding authority may reject a corrected Update Statement if it contains unfavorable information about the contractor that was omitted from the Update Statement filed with the contractor's bid. [810 CMR 8.05(2)].

PART 1 - COMPLETED PROJECTS

LIST ALL PUBLIC AND PRIVATE *BUILDING* PROJECTS YOUR FIRM HAS COMPLETED SINCE THE DATE OF APPLICATION FOR YOUR MOST RECENTLY ISSUED (NOT EXTENDED OR AMENDED) DCAM CERTIFICATE OF ELIGIBILITY. YOU MUST REPORT ALL REQUESTED INFORMATION NOT PREVIOUSLY REPORTED ON THAT DCAM APPLICATION*.

PROJECT TITLE & LOCATION	WORK CATEGORY	CONTRACT PRICE	START DATE	DATE COMPLETED

Attach additional sheets if necessary

* If your firm has been terminated from a project prior to completion of the work or has failed or refused to complete its work under any contract, full details and an explanation must be provided. See Part 3 of this Update Statement.

PROVIDE THE FOLLOWING REFERENCE INFORMATION FOR EACH COMPLETED PROJECT LISTED ON THE PREVIOUS PAGE.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON	TELEPHONE
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone

Is your company or any individual who owns, manages or controls your company affiliated with any owner, designer or general contractor named above, either through a business or family relationship? ☐ YES ☐ NO

Are any of the contact persons named above affiliated with your company or any individual who owns, manages or control your company, either through a business or family relationship? ☐ YES ☐ NO

If you have answered YES to either question, explain. _____

PART 2 - CURRENTLY HELD CONTRACTS

LIST ALL PUBLIC AND PRIVATE BUILDING AND NON-BUILDING *CONSTRUCTION* PROJECTS YOUR FIRM HAS UNDER CONTRACT ON THIS DATE REGARDLESS OF WHEN OR WHETHER THE WORK COMMENCED.

1	2	3	4	5	6	7	8	9
PROJECT TITLE & LOCATION	WORK CATEGORY	START AND END DATES	ON SCHEDULE (yes / no)	CONTRACT PRICE	% NOT COMPLETE	\$ VALUE OF WORK NOT COMPLETE (col. 5 X col. 6)	NO. OF YEARS REMAINING (see note below)	ANNUALIZED VALUE OF INCOMPLETE WORK (col. 7 ÷ col. 8) (divided by)

ANNUALIZED VALUE OF ALL INCOMPLETE CONTRACT WORK (Total of Column 9)

\$_____

Column 8

- If less than one year is left in the project schedule, write 1.
- If more than 12 months are left in the project schedule, divide the number of months left in the project schedule by 12 (calculate to three decimal places).

PROVIDE THE FOLLOWING REFERENCE INFORMATION FOR EACH INCOMPLETE PROJECT LISTED ON THE PREVIOUS PAGE.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON	TELEPHONE
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone

Is your company or any individual who owns, manages or controls your company affiliated with any owner, designer or general contractor named above either through a business or family relationship? ☐ YES ☐ NO

Are any of the contact persons named above affiliated with your company or any individual who owns, manages or control your company, either through a business or family relationship? ☐ YES ☐ NO

If you have answered YES to either question, explain. _____

PART 3 - PROJECT PERFORMANCE

For Parts 3 and 4, if you answer YES to any question, please provide on a separate page a complete explanation. Information you provide herein must supplement the Application for your most recently issued (not extended or amended) DCAM Certificate of Eligibility. You must report all requested information not previously reported on that DCAM Application for Prime/General Certificate of Eligibility. Include all details [project name(s) and location(s), names of all parties involved, relevant dates, etc.].

	YES	NO
1. Has your firm been terminated on any contract prior to completing a project or has any officer, partner or principal of your firm been an officer, partner or principal of another firm that was terminated or failed to complete a project?	<input type="checkbox"/>	<input type="checkbox"/>
2. Has your firm failed or refused either to perform or complete any of its work under any contract prior to substantial completion?	<input type="checkbox"/>	<input type="checkbox"/>
3. Has your firm failed or refused to complete any punch list work under any contract?	<input type="checkbox"/>	<input type="checkbox"/>
4. Has your firm filed for bankruptcy, or has any officer, principal or individual with a financial interest in your current firm been an officer, principal or individual with a financial interest in another firm that filed for bankruptcy?	<input type="checkbox"/>	<input type="checkbox"/>
5. Has your surety taken over or been asked to complete any of your work under any contract?	<input type="checkbox"/>	<input type="checkbox"/>
6. Has a payment or performance bond been invoked against your current firm, or has any officer, principal or individual with a financial interest in your current firm been an officer, principal or individual with a financial interest in another firm that had a payment or performance bond invoked?	<input type="checkbox"/>	<input type="checkbox"/>
7. Has your surety made payment to a materials supplier or other party under your payment bond on any contract?	<input type="checkbox"/>	<input type="checkbox"/>
8. Has any subcontractor filed a demand for direct payment with an awarding authority for a public project on any of your contracts?	<input type="checkbox"/>	<input type="checkbox"/>
9. Have any of your subcontractors or suppliers filed litigation to enforce a mechanic's lien against property in connection with work performed or materials supplied under any of your contracts?	<input type="checkbox"/>	<input type="checkbox"/>
10. Have there been any deaths of an employee or others occurring in connection with any of your projects?	<input type="checkbox"/>	<input type="checkbox"/>
11. Has any employee or other person suffered an injury in connection with any of your projects resulting in their inability to return to work for a period in excess of one year?	<input type="checkbox"/>	<input type="checkbox"/>

PART 4 - Legal or Administrative Proceedings; Compliance with Laws

Please answer the following questions. Information must supplement all judicial and administrative proceedings involving bidder's firm, which were instituted or concluded (adversely or otherwise) since your firm's Application for your most recently issued (not extended or amended) Certificate of Eligibility. You must report all requested information not previously reported on that DCAM Application for Prime/General Certificate of Eligibility.

The term "administrative proceeding" as used in this Prime/General Contractor Update Statement includes (i) any action taken or proceeding brought by a governmental agency, department or officer to enforce any law, regulation, code, legal, or contractual requirement, except for those brought in state or federal courts, or (ii) any action taken by a governmental agency, department or officer imposing penalties, fines or other sanctions for failure to comply with any such legal or contractual requirement.

The term "anyone with a financial interest in your firm" as used in this Section "I", shall mean any person and/or entity with a 5% or greater ownership interest in the applicant's firm.

If you answer YES to any question, on a separate page provide a complete explanation of each proceeding or action and any judgment, decision, fine or other sanction or result. Include all details (name of court or administrative agency, title of case or proceeding, case number, date action was commenced, date judgment or decision was entered, fines or penalties imposed, etc.).

	YES	NO
1. Have any civil, judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to the procurement or performance of any construction contract, including but not limited to actions to obtain payment brought by subcontractors, suppliers or others?	<input type="checkbox"/>	<input type="checkbox"/>
2. Have any criminal proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to the procurement or performance of any construction contract including, but not limited to, any of the following offenses: fraud, graft, embezzlement, forgery, bribery, falsification or destruction of records, or receipt of stolen property?	<input type="checkbox"/>	<input type="checkbox"/>
3. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state's or federal procurement laws arising out of the submission of bids or proposals?	<input type="checkbox"/>	<input type="checkbox"/>
4. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of M.G.L. Chapter 268A, the State Ethics Law?	<input type="checkbox"/>	<input type="checkbox"/>

PART 4 - Legal or Administrative Proceedings; Compliance with Laws (continued)

	YES	NO
5. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state or federal law regulating hours of labor, unemployment compensation, minimum wages, prevailing wages, overtime pay, equal pay, child labor or worker's compensation?	<input type="checkbox"/>	<input type="checkbox"/>
6. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state or federal law prohibiting discrimination in employment?	<input type="checkbox"/>	<input type="checkbox"/>
7. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a claim of repeated or aggravated violation of any state or federal law regulating labor relations?	<input type="checkbox"/>	<input type="checkbox"/>
8. Have any proceedings by a municipal, state, or federal agency been brought, concluded, or settled relating to decertification, debarment, or suspension of your firm or any principal or officer or anyone with a financial interest in your firm from public contracting?	<input type="checkbox"/>	<input type="checkbox"/>
9. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of state or federal law regulating the environment?	<input type="checkbox"/>	<input type="checkbox"/>
10. Has your firm been fined by OSHA or any other state or federal agency for violations of any laws or regulations related to occupational health or safety? Note: this information may be obtained from OSHA's Web Site at www.osha.gov	<input type="checkbox"/>	<input type="checkbox"/>
11. Has your firm been sanctioned for failure to achieve DBE/MBE/WBE goals, workforce goals, or failure to file certified payrolls on any public projects?	<input type="checkbox"/>	<input type="checkbox"/>
12. Other than previously reported in the above paragraphs of this Section I, have any administrative proceedings or investigations involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled by any local, state or federal agency relating to the procurement or performance of any construction contract?	<input type="checkbox"/>	<input type="checkbox"/>
13. Are there any other issues that you are aware which may affect your firm's responsibility and integrity as a building contractor?	<input type="checkbox"/>	<input type="checkbox"/>

PART 5 - SUPERVISORY PERSONNEL

List all supervisory personnel, such as project managers and superintendents, who will be assigned to the project if your firm is awarded the contract. **Attach the resume of each person listed below.**

NAME	TITLE OR FUNCTION

PART 6 - CHANGES IN BUSINESS ORGANIZATION OR FINANCIAL CONDITION

Have there been any changes in your firm's business organization, financial condition or bonding capacity since the date your current Certificate of Eligibility was issued? ☐ Yes ☐ No

If YES, attach a separate page providing complete details.

PART 7 – LIST OF COMPLETED CONSTRUCTION PROJECTS SUBMITTED TO THE DIVISION OF CAPITAL ASSET MANAGEMENT.

Attach here a copy of the list of completed construction projects which was submitted with your firm's DCAM Application for your most recently issued (not extended or amended) DCAM Certificate of Eligibility. The Attachment must include a complete copy of the entire Section G – "Completed Projects" and the final page – "Certification" (Section J) containing the signature and date that the Completed Projects list (Section G) was submitted to the Division of Capital Asset Management.

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SPECIAL NOTICE TO AWARDING AUTHORITY
SUB-BIDDERS' UPDATE STATEMENTS ARE NOT PUBLIC RECORDS AND
ARE NOT OPEN TO PUBLIC INSPECTION (M.G.L. C.149, §44D)

EFFECTIVE MARCH 30, 2010

Commonwealth of Massachusetts
Division of Capital Asset Management



SUB-BIDDER
UPDATE STATEMENT

TO ALL SUB-BIDDERS, TRADE CONTRACTORS AND AWARDING AUTHORITIES

A COMPLETED AND SIGNED SUB-BIDDER UPDATE STATEMENT MUST BE SUBMITTED WITH EVERY FILED SUB-BID PURSUANT TO M.G.L. c.149, §44F AND EVERY TRADE SUB-BID PURSUANT TO M.G.L. c. 149A. ANY FILED SUB-BID OR TRADE SUB-BID SUBMITTED WITHOUT AN APPROPRIATE SUB-BIDDER UPDATE STATEMENT IS INVALID AND MUST BE REJECTED.

Caution: This form is to be used for submitting Filed Sub-Bids and Trade Sub-Bids. It is not to be used for submitting Prime/General Contract bids.

AWARDING AUTHORITIES

If the Awarding Authority determines that the sub-bidder is not competent to perform the work as specified on the project, it should reject the bid.

SUB-BIDDER'S AFFIDAVIT

I swear under the pains and penalties of perjury that I am duly authorized by the bidder named below to sign and submit this Sub-bidder Update Statement on behalf of the bidder named below, that I have read this Sub-bidder Update Statement, and that all of the information provided by the bidder in this Sub-bidder Update Statement is true, accurate, and complete as of the bid date.

Bid Date

Print Name of Sub-bidder or Trade Contractor

Project Number (or
name if no number)

Business Address

Awarding Authority

Telephone Number

SIGNATURE⇒

Bidder's Authorized Representative

INSTRUCTIONS

INSTRUCTIONS TO SUB-BIDDERS

- This form must be completed and submitted by all Filed Sub-Bidders bidding on projects pursuant to M.G.L. c. 149, §44F and Trade Contractors bidding on projects pursuant to M.G.L. c. 149A.
- You must give complete and accurate answers to all questions and provide all of the information requested. **MAKING A MATERIALLY FALSE STATEMENT IN THIS SUB-BIDDER UPDATE STATEMENT IS GROUNDS FOR REJECTING YOUR BID AND FOR DEBARRING YOU FROM ALL PUBLIC CONTRACTING.**
- **This Sub-Bidder Update Statement must include all requested information that was not previously reported on the Application used for your firm's most recently issued (not extended or amended) Sub-Bidder Certificate of Eligibility. The Sub-Bidder Update Statement must cover the entire period since the date of that Application, NOT since the date of your Certification.**
- You must use this official form of Sub-bidder Update Statement. Copies of this form may be obtained from the awarding authority and from the DCAM Web Site: www.mass.gov/dcam.
- If additional space is needed, please copy the appropriate page of this Sub-bidder Update Statement and attach it as an additional sheet.

INSTRUCTIONS TO AWARDING AUTHORITIES

Determination of Sub-Bidder Qualifications

- It is the awarding authority's responsibility to determine each responsible bidder. You must consider all of the information in the bidder's Sub-bidder Update Statement in making this determination. Remember: this information was not available to the Division of Capital Asset Management at the time of certification.
- The sub-bidder's performance on the projects listed in Parts 1 and 2 must be part of your review. Contact the project references.
- **AWARDING AUTHORITIES ARE STRONGLY ENCOURAGED TO REVIEW THE SUB-BIDDER'S ENTIRE CERTIFICATION FILE AT THE DIVISION OF CAPITAL ASSET MANAGEMENT.** Telephone (617) 727-9320 for an appointment.

Correction of Errors and Omissions in Sub-bidder Update Statements

Matters of Form: An awarding authority shall not reject a sub-bidder's bid because there are mistakes or omissions of form in the Sub-bidder Update Statement submitted with the bid pursuant to M.G.L. c.149, §44D, provided the sub-bidder promptly corrects those mistakes or omissions upon request of the awarding authority. [810 CMR 8.13(1)].

Correction of Other Defects: An awarding authority may, in its discretion, give a sub-bidder notice of minor defects and omissions as to form in the Sub-bidder's Update Statement and provide an opportunity to correct its Sub-bidder Update Statement. However, the sub-bidder shall not be allowed to make corrections to a Sub-bidder Update Statement if material information about the sub-bidder was omitted from the Sub-bidder Update Statement filed with the sub-bidder's bid. The Awarding Authority shall advise DCAM of any material omissions in a Sub-bidder's Update Statement.. [810 CMR 8.13(2)].

PART 1 - COMPLETED PROJECTS

LIST ALL PUBLIC AND PRIVATE PROJECTS OF \$20,000 OR MORE THAT YOUR FIRM HAS COMPLETED SINCE THE DATE OF APPLICATION FOR YOUR MOST RECENTLY ISSUED (NOT EXTENDED OR AMENDED) SUB-BIDDER CERTIFICATE OF ELIGIBILITY*.

PROJECT TITLE & LOCATION	WORK CATEGORY	CONTRACT PRICE	START DATE	DATE COMPLETED

Attach additional sheets if necessary

* If your firm has been terminated from a project prior to completion of the work or has failed or refused to complete its work under any contract, full details and an explanation must be provided. See Part 3 of this Sub-bidder Update Statement.

PROVIDE THE FOLLOWING REFERENCE INFORMATION FOR EACH COMPLETED PROJECT LISTED ON THE PREVIOUS PAGE.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON	TELEPHONE
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone

Is your company or any individual who owns, manages or controls your company affiliated with any owner, designer or general contractor named above, either through a business or family relationship? ☐ YES ☐ NO

Are any of the contact persons named above affiliated with your company or any individual who owns, manages or control your company, either through a business or family relationship? ☐ YES ☐ NO

If you have answered YES to either question, explain. _____

PART 2 - CURRENTLY HELD CONTRACTS

LIST ALL PUBLIC AND PRIVATE PROJECTS OF \$20,000 OR MORE THAT YOUR FIRM HAS UNDER CONTRACT ON THIS DATE REGARDLESS OF WHEN OR WHETHER THE WORK COMMENCED.

1	2	3	4	5	6	7
PROJECT TITLE & LOCATION	WORK CATEGORY	START AND END DATES	ON SCHEDUL E (yes / no)	CONTRACT PRICE	% NOT COMPLETE	\$ VALUE OF WORK NOT COMPLETE (col. 5 X col. 6)

PROVIDE THE FOLLOWING REFERENCE INFORMATION FOR EACH INCOMPLETE PROJECT LISTED ON THE PREVIOUS PAGE.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON	TELEPHONE
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone
	OWNER: Owner	Contact Person	Telephone
	DESIGNER: Designer	Contact Person	Telephone
	GC: GC	Contact Person	Telephone

Is your company or any individual who owns, manages or controls your company affiliated with any owner, designer or general contractor named above either through a business or family relationship? ☐ YES ☐ NO

Are any of the contact persons named above affiliated with your company or any individual who owns, manages or control your company, either through a business or family relationship? ☐ YES ☐ NO

If you have answered YES to either question, explain. _____

PART 3 - PROJECT PERFORMANCE

For Parts 3 and 4, if you answer YES to any question, please provide on a separate page a complete explanation. Information you provide herein must supplement the Application for your most recently issued (not extended or amended) Sub-Bidder Certificate of Eligibility. You must report all requested information not previously reported on that Application. Include all details [project name(s) and location(s), names of all parties involved, relevant dates, etc.].

	YES	NO
1. Has your firm been terminated on any contract prior to completing a project or has any officer, partner or principal of your firm been an officer, partner or principal of another firm that was terminated or failed to complete a project?	<input type="checkbox"/>	<input type="checkbox"/>
2. Has your firm failed or refused either to perform or complete any of its work under any contract prior to substantial completion?	<input type="checkbox"/>	<input type="checkbox"/>
3. Has your firm failed or refused to complete any punch list work under any contract?	<input type="checkbox"/>	<input type="checkbox"/>
4. Has your firm filed for bankruptcy, or has any officer, principal or individual with a financial interest in your current firm been an officer, principal or individual with a financial interest in another firm that filed for bankruptcy?	<input type="checkbox"/>	<input type="checkbox"/>
5. Has your surety taken over or been asked to complete any of your work under any contract?	<input type="checkbox"/>	<input type="checkbox"/>
6. Has a payment or performance bond been invoked against your current firm, or has any officer, principal or individual with a financial interest in your current firm been an officer, principal or individual with a financial interest in another firm that had a payment or performance bond invoked?	<input type="checkbox"/>	<input type="checkbox"/>
7. Has your surety made payment to a materials supplier or other party under your payment bond on any contract?	<input type="checkbox"/>	<input type="checkbox"/>
8. Has any subcontractor filed a demand for direct payment with an awarding authority for a public project on any of your contracts?	<input type="checkbox"/>	<input type="checkbox"/>
9. Have any of your subcontractors or suppliers filed litigation to enforce a mechanic's lien against property in connection with work performed or materials supplied under any of your contracts?	<input type="checkbox"/>	<input type="checkbox"/>
10. Have there been any deaths of an employee or others occurring in connection with any of your projects?	<input type="checkbox"/>	<input type="checkbox"/>
11. Has any employee or other person suffered an injury in connection with any of your projects resulting in their inability to return to work for a period in excess of one year?	<input type="checkbox"/>	<input type="checkbox"/>

PART 4 - Legal or Administrative Proceedings; Compliance with Laws

Please answer the following questions. Information must supplement all judicial and administrative proceedings involving bidder's firm, which were instituted or concluded (adversely or otherwise) since your firm's Application for your most recently issued (not extended or amended) Sub-Bidder Certificate of Eligibility. You must report all requested information not previously reported on that DCAM Application.

The term "administrative proceeding" as used in this Sub-Bidder Update Statement includes (i) any action taken or proceeding brought by a governmental agency, department or officer to enforce any law, regulation, code, legal, or contractual requirement, except for those brought in state or federal courts, or (ii) any action taken by a governmental agency, department or officer imposing penalties, fines or other sanctions for failure to comply with any such legal or contractual requirement.

The term "anyone with a financial interest in your firm" as used in this Section "T", shall mean any person and/or entity with a 5% or greater ownership interest in the applicant's firm.

If you answer YES to any question, on a separate page provide a complete explanation of each proceeding or action and any judgment, decision, fine or other sanction or result. Include all details (name of court or administrative agency, title of case or proceeding, case number, date action was commenced, date judgment or decision was entered, fines or penalties imposed, etc.).

	YES	NO
1. Have any civil, judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to the procurement or performance of any construction contract, including but not limited to actions to obtain payment brought by subcontractors, suppliers or others?	<input type="checkbox"/>	<input type="checkbox"/>
2. Have any criminal proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to the procurement or performance of any construction contract including, but not limited to, any of the following offenses: fraud, graft, embezzlement, forgery, bribery, falsification or destruction of records, or receipt of stolen property?	<input type="checkbox"/>	<input type="checkbox"/>
3. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state's or federal procurement laws arising out of the submission of bids or proposals?	<input type="checkbox"/>	<input type="checkbox"/>
4. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of M.G.L. Chapter 268A, the State Ethics Law?	<input type="checkbox"/>	<input type="checkbox"/>

PART 4 - Legal or Administrative Proceedings; Compliance with Laws (continued)

	YES	NO
5. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state or federal law regulating hours of labor, unemployment compensation, minimum wages, prevailing wages, overtime pay, equal pay, child labor or worker's compensation?	<input type="checkbox"/>	<input type="checkbox"/>
6. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of any state or federal law prohibiting discrimination in employment?	<input type="checkbox"/>	<input type="checkbox"/>
7. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a claim of repeated or aggravated violation of any state or federal law regulating labor relations?	<input type="checkbox"/>	<input type="checkbox"/>
8. Have any proceedings by a municipal, state, or federal agency been brought, concluded, or settled relating to decertification, debarment, or suspension of your firm or any principal or officer or anyone with a financial interest in your firm from public contracting?	<input type="checkbox"/>	<input type="checkbox"/>
9. Have any judicial or administrative proceedings involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled relating to a violation of state or federal law regulating the environment?	<input type="checkbox"/>	<input type="checkbox"/>
10. Has your firm been fined by OSHA or any other state or federal agency for violations of any laws or regulations related to occupational health or safety? Note: this information may be obtained from OSHA's Web Site at www.osha.gov	<input type="checkbox"/>	<input type="checkbox"/>
11. Has your firm been sanctioned for failure to achieve DBE/MBE/WBE goals, workforce goals, or failure to file certified payrolls on any public projects?	<input type="checkbox"/>	<input type="checkbox"/>
12. Other than previously reported in the above paragraphs of this Section I, have any administrative proceedings or investigations involving your firm or a principal or officer or anyone with a financial interest in your firm been brought, concluded, or settled by any local, state or federal agency relating to the procurement or performance of any construction contract?	<input type="checkbox"/>	<input type="checkbox"/>
13. Are there any other issues that you are aware which may affect your firm's responsibility and integrity as a building contractor?	<input type="checkbox"/>	<input type="checkbox"/>

PART 5 - SUPERVISORY PERSONNEL

List all supervisory personnel who will be assigned to the project if your firm is awarded the contract.
Attach the resume of each person listed below.

NAME	TITLE OR FUNCTION

PART 6 - CHANGES IN BUSINESS ORGANIZATION OR FINANCIAL CONDITION

Have there been any changes in your firm's business organization, financial condition or bonding capacity since the date your current Certificate of Eligibility was issued? ☐ Yes ☐ No
If YES, attach a separate page providing complete details.

PART 7 – LIST OF COMPLETED CONSTRUCTION PROJECTS SUBMITTED TO THE DIVISION OF CAPITAL ASSET MANAGEMENT ALONG WITH CERTIFICATION PAGE.

Attach here a copy of the list of completed construction projects which was submitted with your firm's Application for your most recently issued (not extended or amended) Sub-Bidder Certificate of Eligibility. The Attachment must include a complete copy of the entire Section F – "Completed Projects" (Section G – "Completed Projects" for firms certified based upon their Prime/General Application), and the final page – "Certification Page", (Section I in the Sub-bidder Application or Section J in Prime/General Application) containing the signature and date that the Completed Projects list (Section F or G) was submitted to the Division of Capital Asset Management.